

Before

Abid Hussain - Head of Department (MS & SID)

In the matter of Show Cause Notice issued to Mrs. Maria Asif

Under Section 15A of the Securities and Exchange Ordinance 1969

Date of Hearing

December 30, 2014

Present at the Hearing

• Mr. Muhammad Asif (on behalf of Mrs. Maria Asif)

Assisting Head of Department (MS & SID)

Mr. Amir Saleem - Deputy Director (MS & SID)

ORDER

This Order shall dispose of the proceedings initiated through Show Cause Notice ("SCN") No. SMD/MSW/FR-MA/73/2014-802, dated November 07, 2014 issued by Securities and Exchange Commission of Pakistan (the "Commission") under Section 15 A of the Securities and Exchange Ordinance 1969 (the "Ordinance") to Mrs. Maria Asif client of MRA Securities (Pvt.) Ltd("MSL").

2. Brief facts of the case are that Mrs. Maria Asif UIN 42101-5323437-8 is the client of MSL bearing Trading Account No. 03412, detail of which is as follows:

Name	Maria Asif
Member Name	MRA Securities (Pvt.) Ltd.
Client Code	03412
Account Opening Date	August 20, 2007

3. Review of trading record of Mrs. Maria Asif for the period starting from January 2011 to June 2014 ("Review Period") reveals that considerable amount of her trading matched with the trading of clients of Abbasi Securities (Pvt.) Ltd ("ASL"). Detail of which is as follows:

Total Buy and Sell of Mrs. Maria Asif

During the period	Shares
Total Buy	1,575,790
Total Sell	1,515,557

Transaction matched with ASL clients

From ASL	Shares	%age
Total Buy	324,629	20.60%
Total Sell	1,049,845	69.27%

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- 4. Detail of transactions carried out by Mrs. Maria Asif with the client of ASL are enclosed as Annexure A, which reflects that most of the trades were 100% matched with the trades executed by Mr. Muhammad Asif for the clients of ASL.
- 5. NADRA verisys of Mrs. Maria Asif revealed that she is wife of Mr. Muhammad Asif, who worked as KATS operator with ASL. In view of the above mentioned, it was observed that prima facie Mr. Muhammad Asif was providing material information pertaining to the orders of the clients of ASL, to his wife Mrs. Maria Asif, which was available to him by the virtue of his position as KATS Operator at ASL. Therefore, SCN under Section 15 A read with Section 22 of the Ordinance was issued to Mrs. Maria Asif to show cause as to why penal action may not be taken against her under Section 15E of the Ordinance.
- 6. Mrs. Maria Asif responded the SCN vide her letter dated Nil, received by this Office on December 08, 2014. Brief contention of which are as follows:
 - Mr. Muhammad Asif has never been providing any information pertaining to the orders
 of the clients of ASL and he never communicated any inside information relating to the
 orders etc.
 - I used to trade in different securities but the same was not based on any inside information.
 - I have a joint account with my uncle and all the decision had been taken by us with our consent.
 - Profit and loss is the part of the trading in securities and whatever profit I earned was neither due to any connivance nor because of any prior information.
 - I specially deny that I was involved in front running of the clients of ASL.
 - I traded in different securities and all of my transactions were based on my personal independent decisions with my uncle, hence show cause notice is based on misunderstanding or misconstructions of facts.
 - I hereby request that the SCN may be withdrawn in the interest of justice.
- 7. Reply submitted by Mrs. Maria Asif was not found satisfactory. Therefore hearing in the matter was fixed on December 30, 2014. The hearing was attended by Mr. Muhammad Asif, on behalf of Mrs. Maria Asif.
- 8. During the hearing proceedings, Mr. Muhammad Asif initially denied the allegations levied and stated that Maria Asif did not trade based on the information with regard to the orders of clients of ASL provided by him. However, it was argued with Mr. Muhammad Asif that substantial percentage of the trading of Mrs. Maria Asif, as mentioned above, matched with the client of ASL and asked him to justify this matching of trades. Mr. Muhammad Asif did not furnish the satisfactory reply and admitted the noncompliance with the provisions of the Ordinance. He further stated that
 - She is a housewife and not aware of the legal provisions with regard to the insider trading as stipulated in the Ordinance.
 - He had been passing on information pertaining to the orders of clients of ASL to his wife Mrs. Maria Asif to earn money and to meet the personal expenditure.
 - He belongs to a poor family. He had to earn money to meet the family expenses.



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- He is currently unemployed and do not have funds to pay any penalty, if imposed by the Commission.
- He assured that he will comply with the relevant provision of law in letter and spirit.
- He prayed that a lenient view may be taken in the matter.
- 9. I have examined the record available with the Commission and deliberation made during the hearing proceedings. It is evident that Mr. Muhammad Asif being an insider disclosed the material non-public information relating to trading orders of the clients of ASL, to his wife Mrs. Maria Asif, who traded in the scrips as stated in preceding paragraphs, which resulted in significant amount of profit to her.
- 10. Mrs. Maria Asif is involved in the front running of the clients of ASL and earned an accumulated profit of Rs. 755,307/- (Rupees seven hundred fifty five thousand three hundred seven only) through these transactions. Such information classifies as 'Inside Information' within the scope and meaning of Section 15B (1) (c) of the Ordinance reproduced below:

Section 15B of the Ordinance - Inside Information

- (1) The expression "inside information" means, -
 - (c) in relation to persons responsible for the execution of orders concerning listed securities, information which is conveyed by a client to such person and related to the client's pending orders.
- 11. Mr. Muhammad Asif by virtue of his position/employment with ASL establishes that he is an 'Insider' as defined in Section 15C (1) (g) of the Ordinance:

Section 15C of the Ordinance - Insiders

Insider shall include, -

- (g) any person obtaining inside information as part of his employment or when discharging his usual duties in an official capacity, or in any other way relating to work performed under contract of employment or otherwise;
- 12. In this regard, it has been established that the Mrs. Maria Asif has violated the relevant provisions of the Ordinance and traded based on the inside information pertaining to the orders of the clients of ASL. Mrs. Maria Asif has indulged in insider trading based on the information available with her and hence failed to comply with the provisions of the Section 15A of Ordinance, which attract the penal provisions of Section 15E of the Ordinance that reads as under:

Section 15E of the Ordinance - Liability for contravention

1. Any person who contravenes the provisions of subsection (1) of section 15A shall, on being found guilty of contravention by the Commission, be liable to fine, which may extend to ten million rupees or three times the amount of gain made or loss avoided by such person, or loss suffered by another person, whichever amount is higher.

2. In addition to the fine imposed under sub-section (1), such person,-

(a) may be directed by the Commission, -

- (i) to surrender to the Commission, an amount equivalent to the gain made or loss avoided by him; or
- (ii) to pay any other person who has suffered a loss, an amount equivalent to the loss so suffered by such person; and

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- (b) may, where such person is an executive officer, director, auditor, advisor, consultant of a listed company, be removed from such office by an order of the Commission and debarred from auditing any listed company for a period of up to three years; or
- (c) may, where such person is registered as a broker or agent, be liable to cancellation of registration.
- 13. Before concluding the case, I would like to discuss the front running and its consequences and why it is illegal. Front running means buying or selling of securities ahead of a client's order so as to benefit from the subsequent price movement. This denotes persons dealing in the market, knowing that a transaction will take place in the near future and that price of share is likely to move in his favor. Front running is not only unethical but at the same time it is illegal. It is imperative that a broker and its employees acting on behalf of an investor must execute the investor's order in a way that is most advantageous to the investor rather than themselves. By front running, the Broker or its officer acts in an unethical manner, putting his own interest above that of his client, thereby causing a fraud. In fact the KATS operator in the instant case was stealing a part of money from the clients which otherwise they won't have to pay.
- 14. In view of the above, I am of the considered view that Mrs. Maria Asif violated the provisions of the Ordinance. Therefore, in exercise of powers under Section 15E of the Ordinance, I hereby impose a penalty of Rs. 755,307/- (Rupees seven hundred fifty five thousand three hundred seven only) on Mrs. Maria Asif for trading based on the material information passed on by her husband Mr. Muhammad Asif pertaining to the orders of clients of ASL, in violation with the provision of Section 15A of the Ordinance.
- 15. The matter is disposed of in the above manner and Mrs. Maria Asif is directed to deposit the penalty in the account of the Commission being maintained in the designated branches of MCB Bank Limited within 30 days from the date of this order and furnish the copy of the deposit challan to the undersigned.

This order is issued without prejudice to any other action that the Commission may initiate in accordance with any other provision(s) of law time being enforced.

ABID HUSSAIN

Head of Department - MS & SID

Announced on January 14, 2015 Islamabad Name MARIA ASIF

Member Name M.R.A Securities (Pvt.) Ltd.

Annexure - A

	Trades Executed by Maria Anis								Detail of Share bought/sold to the client of Abbasi Seurities (Pvt) Ltd										
			Buy			Sell						Buy			Sell				
	1	_																	
				workerrous.			1 T 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	-					0.00020000				Buy	Sale	
Symbol Code	Date	Qty	Amount	Avg Trade Price	Qty	Amount	Avg Trade Price	Symbol Code	Date	Counter Member	Qty	Amount	Avg Trade Price	Qty	Amount	Avg Trade Price	%age matched	%age matched	Gain/(Loss)
AATM	2011-04-01	1,847	1.898	1.01	4,000	5,600	1.40	AATM	2011-04-01	ASL.	80			4,000	5,600	1.40	0%	100%	Chiry(taxoo)
	2011-04-07	3,100	3.560	1.15	4,039	5,570	1.34		2011-04-07	ASI.	2,600	2,990	1.15	4,039	5,570	1.34	84%	100%	891
	2013-12-30	5,000	16,500	3.30	5,000	19,350	3.87		2013-12-30	ASL.	5,000	16,500	3.30	5,000	19,350	3.87	100%	100%	2,850
	2014-01-20	1,500	9,090	6.00					2014-01-20	ASL	500	2.950	5.90				33%	0%	
	2014-05-15	2,000	9,200	4.60													0%	0%	
	2014-05-26				2,000	12,500	6.25		2014-05-26	ASL				2,000	12,500	6.25	0%	100%	3,300
ABL.	2011-01-21	6,000	429,060	71.51	6,000	432,000	72.00	ABI.	2011-01-21	ASL	6,000	429,060	71.51				100%	0%	
ACPL	2013-01-07	2,000	189,220	94.61	2 000	water concern	Casal Casal		The Assessment State of College	1.00							0%	0%	
STORANA.	2013-01-08	2,000	151,000	77 00	2,000	191,265	95.51	18.733.484	2013-01-08	ASL.				1,000	95,275	95.28	0%	50%	065
ADMM AKDCL	2014-01-01 2012-11-08	2,000	154,000 19,400	38 80	2,000	157,300	78.65	ADMM	2014-01-01	ASL	500	10.400	70.00	2,000	157,300	78.65	0%	100%	3,300
BATA	2012-11-16	50	65,788	1,315.75	500	19,350	38.70	BATA	2012-11-08	ASL	500	19,400	38.80	500	19,350	38.70	100%	100%	(50)
Don Co.	2012-11-19		15,1,700	1,010.70	50	67,250	1,344.99	D14 1 57	2012 11 20	ASL				231	14.444	1.014.00	.0%	0%	176
	2012-11-21	50	66,000	1,320.00	50	69,250	1,385.00		2012-11-19	ASL				50	67,250	1,344.99	G%	100%	1,462
	2012-12-06	50	78,400	1,568.00	50	79,925	1,598.50		2012-12-06	ASL.				50 50	69,250	1,385.00	0%	100%	3,250 1,525
	2012-12-11	50	76,500	1,530.00		7,723	1,570.50		2011-12-00	2536				351	79,925	1.598.50	0%	0%	1,323
	2012-12-12				50	79,975	1,599.50		2012-12-12	ASL				50	.79,975	1,599.50	0%	100%	3,475
	2012-12-13	150	230,900	1,539.33	150	218,025	1,453.50		2012-12-13	ASL.	150	230,900	1,539.33	150	218,025	1,453.50	100%	100%	(12,875)
	2012-12-14	150	227,825	1,518.83	150	215,500	1,436.67		2012-12-14	ASL	150	227,825	1,518.83	150	215,500	1,436.67	100%	100%	(12,325)
	2012-12-18	100	155,500	1,555 (0)	100	141,800	1,418.00		2012-12-18	ASL	100	155,500	1,555.00	100	141,800	1,418.00	100%	100%	(13.700)
	2013-01-01	50	69,500	1,389.99	50	64,200	1,284.00		2013-01-01	ASL	50	69,500	1,389,99	50	64,200	1,284.00	100%	100%	(5,300)
	2013-01-04	50	65,150	1,302.99					2013-01-04	ASL	50	65,150	1,302,99		2017/1000	100000000	100%	0%	1000,000
	2013-01-24	50	63,005	1,260.10					2013-01-24	ASL	50	63,005	1,260.10				100%	.0%	
	2013-02-13	100	147,390	1,473.90	100	140,510	1,405.10		2013-02-13	ASL	100	147,390	1,423,90	100	140,510	1,405.10	100%	100%	(6.880)
	2013-06-12	50	89,000	1,780.00													0%	0%	
	2013-06-18	150	256,500	1,710.00													0%	0%	
	2013-06-19	400	654,000	1.636.67	600	1,050,400	1,751.50		2013-06-19	ASL	300	489,000	1,630,00	600	1,050,400	1,751.50	75%	100%	50.900
BGL,	2013-07-18	4,000	20,200	5.05				BCI.									0%	0%	
	2013-07-22	68 68 W.C.	conservation of the second		4.000	23,400	5.85		2013-07-22	ASI.				4,000	23,400	5.85	0%	100%	3,200
CIPL	2013-05-28	5,000	9,500	1.90				CIPL									0%	0%	
	2013-05-29	8,500	15,800	1.87													02	13%	
	2013-05-31	15,000	28,500	1.90	22 000	22,000	2.6										0%	0%	
	2013-06-04-2013-06-11	20,000	40,000	2.00	22,000	53,900	2.45										0%	0%	
	2013-06-17	20,000	40,000	2.00	45,000	112,300	2.50		2013-06-17	ASL				45 (8/80)	444 204	4.54	.0%	0%	45.453
COTT	2013-04-15	2,500	5,125	2.05	40,000	F. C. C. C. C. C.		COTT	2013-04-15	ASL	2.500	5,125	2 05	45,000	112,300	2.50	0%	100%	85,193
33703	2013/05/31	13,500	39,150	2.90				55471	2013-05-31	ASL	3,500	10,150	2.90				100% 26%	0%	
	2013-06-03	10,000	100		25,000	87,500	3.50.		2013-06-03	ASL	22,500	10,130	40.09	25.000	87,500	3.50	0%	100%	11,725
CPAL	2012-10-30	500	850	1.70				CPAL	2012-10-30	ASL	500	850	1.70	23,000	37,2500	1000	100%	0%	11.20
	2012-10-31	10.000	24,500	2.45	10,000	24,800	2.48		2012 10 31	ASL	10,000	24,500	2.45	10,000	24,800	2.48	100%	100%	300
	2012-11-08	10,000	17,000	1.70												90.3561	0%	10%	
	2012-11-12				806500	22,890	2.18		2012-11-12	ASL				10,500	22.890	2.18	0%	100%	5.040
	2012-11-27	16,000	41,870	2.46					2012-11-27	A5L	15,000	39,600	2.64				94%	0%	
	2012-11-29				5,000	12,950	2.59		2012-11-29	ASL				5,000	12.950	2.59	0%	100%	675
CPL	2011-04-20	2,000	290,040	145 02				CPL	2011-04-20	A5L	2,000	290,040	145.02				100%	0%	
	2011-04-21				2.000	290,960	145.48		2011-04-21	ASL				2,000	290,960	145.48	0%	100%	920
	2011-04-22	2,300	331,729	144.23	2.300	333,500	145.00		2011-04-22	ASL	2,300	331,723	144.23				100%	0%	
1175 TEE:	2011-05-16	1,490	233,945	157.01	1.490	235,583	158.14		2011-05-16	ASL	1,490	233,945	157.01				100%	0%	
CPMEL	2011-03-03	1,500	3,750	2.50	1,500	5,925	3.95	CEMEL	2011-03-03	ASL.	1,500	3,750	2.50	1,500	5,925	3.95	100%	0%	2,175
CRIM	2013-07-26	2,500	55,025	22.01	F6528-01	10000000	1727000										0.2	0%	
tie TW	2013-08-30	1996999	200.050	-1144	2,500	43,725	17.49	0000000	2013-08-30	ASI.				2,500	43,725	17.49	0%	100%	(11,300)
CSAP	2013-07-29	10,000	539,250	54.00	CHURAN	# 4 M - 10 M - 1	1-17/466	CSAP	44.707.44	(4524)							0%	0%	
PARAGON AT	2013-07-30	79779-0987	10.00 50000	100.00	10,000	549,900	54.99	No. Co. Company (C. St.)	2013-07-30	A5L	maga		020 (100)	10,000	549,900	54.99	0%	100%	10,650
DW5M	2011-06-14	4,997	15,691	3.14	4,007	16,140	3.23	DWSM	2011-06-14	ASI.	4,997	15.691	3.14	4,997	16,140	3.23	100%	100%	450
ECOP.	2011-02-28	8,501	18,362	2.16	8,501	21,072	2.46	ECOF	2011-02-28	ASL				8,500	21,070	2.47	0%	10X1%	2,708
	2011-03-30	TOLINA	-31/45/6/	4110	10.000	23,400	2.34		2011-03-30	ASL				411.00	22.44		0%	0%	
EFUG.	2014-03-31	500	48,000	96.00	500	48,750	97.50	EFLIC:	2011-03-30					1.0.000	23,400	2.34	0%	1.00%	3,400
100	2014-04-14	5,000	655,500	131.10	-0.07	401.30	70.87	115.64	4114-07-31	A5L				500	48,750	97,50	0%	100%	750
	2014-04-15	3,000			(5:000)	662.500	132.50		2014-04-15	ASL				5,000	662,500	132.50	0%	100%	73000
ENGRO	2011-06-24	100	17,112	171.12	100	17.144	171.43		2011-06-24	ASL				5,000	171	171.43	0%	15	0.00
The second secon	2011-09-27	600	81,035	135 06	100	13.520	1.15.20		2011-09-27	ASL:				100	13,520	135.20	0%	30%	:19
EPCL	2012-07-09	1,000	10.090	10.09		in soverence)	- AND CHANN	EPUL	2012-02-09	ASL AL . I	1.000	10.090	10.09	(0.00).	4. Tarrachi	and the second	100%	0%	0.6%
EXIDE	2011-07-22	350	58,275	les 50	350	59.150	169 (K)	ENDE	2011-07-22	ASL CALL	Commit	Habitachia)	14000411	350	59,150	169.00	(P%-	100%	1075
PEROZ	2014-06-11	100	22,000	220.00				FEROZ.	Service Contract	Am	-			200		111000	0%	02	50%
	2014-0e-12	400.	88,000	220,00	300	131,950	223.90		2014-06-12	ASL				500	111,950	223.60	12%	1005	(1940)
	2014-00-18	500	110,500	221 00	300	1090935	219.85		2014-06-18	ASL *	500	110,50X	=221 OO	500	109,925	219.85	1003	100%	(939)
TRI SI	2012/12/18	3,000	5.100	1.70				E3451/53	20112-12-191	Abil	500	850	1.70				17%	1835	



	2012-12-19	2,000	3,100	1.55														0%	0%	
	2012-12-31	5,000	10,500	2.10	10,000	22,500	2.25		2012-12-31	ASL		5,000	10,500	2.10	10,000	22,500	2.25	100%	100%	3,800
	2013-06-24	30,000	45,000	1.50														0%	0.%	
	2013-06-26	20,000	28,000	1.40														0%	0%	
	2013-07-01	255	58000		50,000	100,000	2.00		2013-07-01	ASL					50,000	100,000	2.00	0%	100%	27,000
FISM	2012-11-27	9,000	13,300	1,48				FTSM										0%	0%	
	2012-11-28	16,000	23,110	1,44	44 950	TEXASON .	127727007		autores ruev	06211								12%	0%	
	2012-11-29	5,500	8,850	1.60	30,000	59,700	1.99		2012-11-29	ASL					30,000	59,700	1.99	0%	100%	15,349
	2012-12-26	10,000	15,000	1.50														0%	0%	
	2012-12-27	40,000	59,990	1.50														0%	0%	
	2012-12-28	2000	-	***	50.000	96,500	1.90	0.0000	2012-12-28	ASL					50,000	96,500	1.90	0%	100%	21,510
GADT	2011-02-25	1,000	75,800	75,80	1,000	75,990	75.99	CADT	2011-02-25	ASL		1,000	75,800	75.80	1,000	75,990	75.99	100%	100%	190
THE STREET	2011-07-22	700	45,790	65.97	700	46,620	66.60	527935575	2011-07-22	ASI,		100	6,620	66.20	700	46,620	66.60	14%	100%	830
GAMON	2013-06-20	5,000	24,125	4.83				GAMON										0%	0%	
	2013-06-24	18,000	83,375	4.62														0%	0%	
	2013-06-26	500	2,250	4.50			0.5											0%	0.2	
	2013-07-01				10,000	51,500	5.15		2013-07-01	ASL					10.000	51,500	5.15	0%	100%	4,226
	2013-08-20	17.700	60 175	4.30	13,500	67,365	4.99		2013-08-20	ASL					13,500	67,365	4.99	0%	100%	5,036
	2014-01-28	13,500	58,375	4.30	13,500	65,340	4.84		2014-01-28	ASL					13,500	65,340	4.84	0%	100%	6,965
TOTAL CONTRACT	2014-02-27	5,000	17,500	3.50	5,000	21,000	4.20	2012/12/12	2014-02-27	ASL		1000000	7.60F±2011		5,000	21,000	4.20	0.%	100%	3,500
GHNI	2011-09-05	2.500	16,399	6.25	2,500	17,125	6.85	GHNI	2011-09-05	ASL		2,499	16,394	6.50	2,500	17,125	6.85	100%	100%	726
GLAT	2014-01-07	2,000	280,000	140.00	200	29,600	148:00	GLAT	2014-01-07	ASL					200	29,600	148.00	10%	100%	1,600
	2014-01-08	7 000	707 504	4.00	1,800	260,370	144.65		2014-01-08	ASL					1,800	260,370	144.65	0%	100%	8,370
CHANG	2014-01-09	2,000	275,500	137.75	2,000	288,000	144.00		2014-01-09	A5L					2,000	288,000	144.00	0%	100%	12,500
GLAXO	2014-06-10	5,000	902,550	180.51	5,000	907,500	181.50	CLAXO	2014-06-10	ASL					5,000	907,500	181,50	0%	100%	4,950
0.0004640007	2014-06-18	4,700	804,900	171.32	4,700	808,165	171.95	2442222	2014-06-18	ASL					4,700	808,165	171.95	0%	100%	3,265
GRYL.	2011-01-03	1.000	2,200	2.20				GRYL										.0%	0%	
	2011-01-04	2	3	1.33														0.2	0%	
	2011-01-05	150	300	2.00	100	4 4 4 4			223527	332								0%	0.4	
	2011-01-06	100	240	2.00	652	1,627	2.49		2011-01-06	A5L					652	1,627	2.49	0%	100%	221
	2011-01-07	1.000	20	2.00	510	1,275	2.50		2011-01-07	ASL					510	1,275	2.50	0%	100%	155
	2011-01-17	1,080	2,000	2.00	7,000	Winesail	5.69		ANALY DESTRUCT	724					67001	0212252		0%	0.000	cara
	2011-01-18				500 450	1,250	2.50		2011-01-18	ASL					500	1,250	2.50	0.4	100%	475
	2011-01-19				40		2.50			ASL					450	1,125	2.50	(1)% ₀	100%	
KCCT	2011-01-26	3,500	6,675	1.91	:40	100	2.50	No.	2011-01-26	ASL		2.000		7.50	40	100	2.50	0%	100%	20
BOAC F	2012-07-13	2,000	4,000	2.00				R. C. 1	2012-07-12	ASL		3,500	6,675	1.91				100%	0%	
	2012-09-06	2,0840	4,46,61	~ //A/	4.000	7,360	1.84		2012-07-13	ASL		2,000	4,000	2.00	1 0000	1909.00	20227	100%	0%	1000000
	2012-09-10	2,000	3,280	1.64	475.00	7,500	1 079		2012-177-189	ASIL					4,000	7,360	1.84	0%	100%	(334)
	2012-09-14	2,000	0,280	1.54	3,500	7,720	2.20		2012-09-14	ASL					1.000	20.0000	2.34	0%	0%	172.00
10.1	2014-06-05	2,000	778,020	389 01	2,000	780,980	300.49	ICI	2014-06-05	ASL					1,000	2,270	2.27	0%	29%	630 2,960
100.0	2014-06-09	2,000	760,020	380.01	2,000	769,000	384.50	Mode	2014-06-09	ASIL						780,980	390.49	0%	100%	8,980
	2014-06-18	1,000	370,000	370.00	1,000	371,950	371.95		2014-06-18	ASL					1,000	769,000	384.50	0%	100%	1,950
	2014-06-23	1,500	522,000	348.00	1,500	523,292	349.07		2014-06-23	ASL		1,500	522,000	48 00	1,000	371,950	371.95	100/2	100%	1,950
IDEN	2014-01-23	17,500	107,750	5.35	10,000	64.000	6.40	IDEN	2014-01-23	ASIL		4-144	ARA	940 3.83	10,000	64,000	6.40	0%	100%	2,500
	2014-01-24	5,000	30,500	5.10		5.71000	0.40	12.224	101110111						300000	1400	40,462	0%	0%	2,000
	2014-01-27	9,000	53,800	5.99														0%	0%	
	2014-01-28	2,000	11,500	5.90														0%	0%	
	2014-01-29	500	2,900	5.80														0%	0%	
	2014-02-03	2,500	14,000	5.60														03	0%	
	2014-02-04	5,000	27,500	5.50														0%	07	
	2014-02-06		1000 300 1000		25,000	161,500	0.40		2014-02-06	ASL					10,000	64,000	6.40	0%	40%	3,413
	2014-02-07				13,000	90,250	6.97		2014-02-07	ASL					10,000	69,250	6.93	0%	77%	13,050
	2014-0n-11	3,000	17,850	5.95		100			Carrier and	(1996)					1000000	071ec/0	0,25	0%	0%	100000
	2014-06-12	2,000	12,000	5.00														0%	0%	
	2014-06-13	1,000	6,000	6.00														0%	0%	
	2014-06-19	1,000	5,800	5.80														0%	0%	
	2014-06-20	2,000	11,600	5,80														0%	0%	
	2014-06-24				9,000	59,350	b.58		2014-06-24	ASL					9,000	59,350	6.58	0%	100%	6,100
INKL	2012-11-05	6.500	35,425	5.45	6,500	47,125	7.25	INKL	2012-11-05	ASL					6,500	47,125	7.25	0%	100%	11,700
	2012-11-07	500	3,125	6.25					2012-11-07	ASI		500	3,125	0.25	West-Str.	747.44-0		100%	0%	1147.00
	2012-11-12				500	3(225	6.45		2012 11-12	ASL			1111		500	3,225	6.45	0%	100%	100
	2012-11-14	500	2,725	5.45					2012 11 14	ASL		500	2,725	5345	227		2.10	100%	0%	-
	2012-11-19				500	3,025	6.05		2012-11-19	ASI					500	3,025	6.05	0%	100%	300
HOME	2011-04-27	2,000	34,000	17,00	2,000	35,300	17.65	FD5.11	2011-04-27	ASL		2,000	34,000	17.00	2,000	35,300	17.65	100%	100%	1,300
	2011-08-24	1	1.1	10.70		memoral		42000100	000000000000000000000000000000000000000	Committee of		Control of	S. Marros, C.	14.5 - 469.5	-CHOHOM	(1000000)	MINIMESO	0.2	0%	# MOSCIF
	2011 08 25	1.0	108	10.80														D*	0%	
	2011-08-30	989	10,874	10.90	1,000	11,900	11:90		2011-08-30	ASI	MI	479	52317	11.100	6000	11:900	11.90	48%	100%	907
IDW5	2011-03-04	1,000	74,488	74,49	1,000	74,900	74.90	IDWS	2011-03-04	ASI	W.	1,000	74,488	74.49	1,000	74,900	74.90	100%	100%	413
ISCCL	2013-09-18	3,500	117,600	13.60	3,000	100,200	33,40	BOLL	2013-09-18	ASL	Linn	3,500	117,600	56.7	3,000	100.200	33.40	100%	100%	(600)
	2013-09-20				500	184,9900	33.98		2013-09-20	ASI.	-	in control	3 100 40 77731 0		500	16,990	33.98	0%	100%	190
JUBS	2012-10-31	2,500	4,500	1.80				11:88		12.5000	1					535000	6896600	10%	0%	the.
	2012 11 01				2:500	5.750	1.23360		2012-11-0H	LASI					23500	5.750	2.30	0%	100%	1250
TVIX.	2017-06-28	25HJ	20.023	\$0.09				(5010)	2012-06-28	A51		:250	20,023	8000970	Vertical and	-0.00	1	100%	-0%	1/550
KESC	2011-01-05	5,500	18,365	3.27				KESC	2011-01-06	452		5,000	Te.800	7.30				91%	0%	
	2012-02-28	4,000	8,600	2.15	4,000	8.840	2.21		2012 02-28	351		4,000	8,600	2.15				100%	0%	
	2012-03-10	1,000	2900	283	4,500	15.450	1,35											1375	11"	

369

	2012-03-20				1,000	2,925	2:93		2012-03-20	ASL					500	1,450	2.90	0%	50%	35
KSTM	2012-11-19	13,500	36,150	2.62	1,000	No. 7 feet	2.70	KSTM	2012-11-19	ASL		10,000	27,400	2.74	500	1,450	2.70	74%	0%	
	2012-11-20				13,500	42,525	3.15		2012-11-20	ASL					13,500	42,525	3.15	0%	100%	6,375
	2012-12-06	2,000	4,600	2.30					2012-12-06	ASL		2,000	4,600	2.30				100%	0%	
	2014-05-12	3,000	9,775	3.28	3,000	14,400	4.80		2014-05-12	A5L		3,000	9,775	3.28	3,000	14,400	4.150	100%	100%	4,625
	2014-05-15	5,000	20,000	4.00	5,000	24,250	4 05		2014-05-15	ASL		500	2,000	4.00	E-000	24.220	(e)(e)(i)	10%	0%	4.250
KTML	2014-05-16 2011-03-28	5,000	19,750	3.95	5,000	21,650	4.85	KTML	2014-05-16 2011-03-28	ASL ASL					5,000	24,250	4.85	0%	100%	1,900
LINDE	2013-02-25	200	31,002	155.01	arterior.	14.130510	******	LINDE	ALCOHOLD SEE	13090					3,000	20000	4.55	0%	0%	11750
	2013-02-26	300	45,780	152.60	500	78,365	156.78		2013-02-26	ASL					500	78,365	156.78	0%	100%	1,583
LMSM	2012-11-20	500	1,570	3.14				LM5M	2012-11-20	A5L		500	1,570	3.14				100%	0%	
	2012-11-21	16,500	59,050	3.55					2012-11-21	ASL		6,500	24,050	3.70				39%	0%	-
	2012-11-22	5,000	19,850	3.85	6,500	28,605	4.35		2012-11-22	ASL		500	1,850	3.70	6,500	28,605	4.35	10%	100%	5,373 23,197
	2012-11-23 2012-12-03	2,500	9,000	3.60	15,500	80,475	5.14		2012-11-23	ASL					15,500	80,475	5.14	0%	100%	23,137
	2012-12-12	19,000	77,140	4.22	21,500	107,500	5.00		2012-12-12	ASL		2,500	10,000	4.00	21,500	107,500	5.00	13%	100%	21,360
	2012-12-19	1,000	5,250	5.25	1,000	5,480	5.48		2012-12-19	ASI.		1,000	5,250	5.25	1,000	5,480	5.48	100%	100%	230
	2013-01-30	1,000	2,500	2.50					2013-01-30	ASL		1,000	2,500	2.50				100%	0%	
	2013-02-04	1,000	2,550	2.55	Total War	121/1020	9825		2013-02-04	ASL		1.000	2,550	2.55	331000	253,9064	5000	100%	0%	02500
	2013-05-15	11,000	44,790	4.35	11,000	50,600	4.60		2013-05-15	ASL		11,000	44,790	4.35	11,000	50,600	4.60	100%	100%	5,810
	2014-01-28 2014-01-30	4,500	36,045	8.01	4,500	40,500	9.00		2014-01-30	ASL					4,500	40,500	9.00	0%	100%	4,455
	2014-01-31	1,000	8,000	8.00	4,000	40,000	5.00		2214-01-149	0.76					92,000	40,000	9.00	0%	0%	Chiesa
	2014-02-11	2,000	16,000	8.00														0%	0%	
	2014-02-12	4,500	36,000	8.00														0%	.0%	
	2014-02-21	5(X)	3,750	7.50														0%	0%	
	2014-02-24	1,000	7,500	7.50														0%	0%	
	2014-02-26	5,000	73,500	7.35 7.00														0%	0%	
	2014-03-07	500	3,050	6.10					2014-03-07	ASL		500	3,050	6.10				100%	0%	
	2014-03-13	-	22.50	12210	.9,500	76,000	8:00		2014-03-13	ASL				17.00	9,500	76,000	8.00	0%	100%	
	2014-04-03	500	3,250	6.50														0%	0%	
	2014-04-22				1,000	7,900	7.90		2014-04-22	ASL					1,000	7,900	7.90	0%	100%	
	2014-04-25				2,000	17,300	8.65		2014-04-25	ASL					2,000	17,300	8.65	0%	100%	
	2014-04-28				2,000	49,425 17,700	8.97		2014-04-28	ASL ASL					5,500	49,425 17,700	8.97	0%	100%	
	2014-05-16				4,000	35,400	8.85		2014-05-16	ASL					4,000	35,400	8.85	0%	100%	
	2014-05-20	3,000	25,950	8.65	3,500	30,100	8.60		2014-05-20	ASL		3,000	25,950	8.65	3,500	30,100	8.60	100%	100%	
	2014-05-21				5(X)	4,225	8.45		2014-05-21	ASL.					500	4.225	8.45	0%	100%	26,050
MACEL	2011-03-10	2,500	16,600	6.64	2,500	17,417	0.97	MACEL	2011-03-10	A5I_					2,500	17,417	6.97	0%	100%	817
	2011-03-22	2,5(x)	17,750	7.10	2,500	18,675	7.47		2011-03-22	ASL		5116			2,500	18,675	7.47	0%	100%	925 3.838
	2011-06-08	7,900 4,000	98,963 43,040	12.51	7,900	46,400	13.02		2011-06-08	ASI.		5,115	04,301	12.63	7,900 4,000	102,801	13.02	65%	100%	3.360
	2011-07-05	2.500	29,025	11.61	2,500	30,600	12.24		2011-07-05	ASL					2,500	30,600	12.24	0%	100%	1,575
MERIT	2011-08-01	2,400	66.048	27.52	2,400	71,520	29.80	MERIT	2011-08-01	ASI					2.400	71,520	29.80	0.20	100%	5,472
MFFL	2012-11-06	400	146,565	366.41	400	136,085	340.21	MFFL	2012-11-0e	ASL		400	146,565	366.41	400	136,083	340.21	100%	100%	(10,480)
	2012-12-26	300	112,480	375,20	300	112.035	373.45		2012-12-26	4.91		300	112,480	375.20	300	112,035	373.45	100%	100%	(445)
	2013-01-02	100	34,758	347.58	100	35,900	359.00		2013-01-03	ASL					100	35,900	359.00	0%	100%	1,142
	2013-02-12	400	133,020	332.55	200	72,000	360.00		2013-02-12	ASL					200	72,000	360.00	0%	100%	5,490
	2013-02-13				200	67,800	339.00		2013-02-13	ASI.					200	67,800	339.00	0%	100%	1,290
	2014-04-15	200	130,200	651.00	200	134,800	674.00		2014-04-15	ASL					200	134,800	674.(X)	0%	100%	4,600
MUBT	2013-04-17	1,000	4,310	4.31				MUBT	2013-04-17	ASL		1.000	4,310	4.31				100%	0%	
	2013-05-07	5(X)	1,550	3,10					2013-05-07	ASI.		500	1,550	3.10				100%	0%	
	2014-04-25	500 6,000	2,200 26,950	4.40 4.38					2014-04-25	ASL		500 1,000	4,200	4.40				100%	0%	
	2014-05-28	500	2.450	4.90					2014-05-28	ASL		500	2,450	4.90				100%	0%	
	2014-05-29	6.000	29,350	4.88					2014-05-29	ASL.		6,000	29,350	4.88				100%	0%	
	2014-06-05	500	2,150	4.30					2014-06-05	ASL		500	2,150	4:30				100%	0%	
MWMP	2013-07-17	000, #	3,550	3,55				MWMP	100 100 100 100 100 1			10-265	1010110-1	515561				0.%	0%	
	2013-07-18	2,500	8.875	3.55					2013-07-18	ASIL		2.500	8,875	3,55				100%	0%	
	2013-07-23 2013-07-24	5,000 7,500	17,500 24,875	3.50														0%	0%	
	2013-08-07	3,000	11,065	3.69	19,000	79,800	4.20		2013-08-07	ASI					19:000	79,800	4.20	0%	100%	13,935
NAGE	2011-04-29	5,000	86,250	17.25	5,000	89,750	17.95	NAGC	2011-04-29	ASL		5,000	86.250	17.25	5,000	89,750	17.95	100%	100%	3,5(X)
NATE	2011-01-06	5(8)	32,500	65,00	5(0)	32,400	64.80	NATE	2011-01-06	ASI		500	32,500	65.00	500	32,400	64.80	100%	100%	(100)
	2011-04-11	1,000	62,020	62.01					2011-04-11	ASI.		1999	61,958	62.02				100%	0.2	
	2013-01-15	200	53,602	268.01	CHARLES.	gyana			1929 19 1 199 1 199 1	0.004545					4-015	99 8 90	THE RESERVE	0%	0%	70.000
NOTE	2013-01-17	1,000	52,975	52.98	1,000	94,350 91,750	271.75 51.75	NORK	2013-01-17	ASL	1	1,000	52,975	52.98	100	27,150 51,750	271 50 51 75	100%	100%	(1,225)
CON2117BC	2012-12-19	1,1830	57,995	58.00	1,000	57,500	57.50	06/8/80	2012-12-19	ASL	91.0	1,000	57,995	58.00	1,000	57,500	57.50	100%	100%	(495)
	2012-12-20	500	28,050	56.10	interval.	BLUMSTON.	ecent()		2012-12-20	ASI	Visit	500	28,050	56,131	11/2/20	(collection)	W. S. (4110)	100%	02-	
	2013-01-01				500	26,550	2 £ 117		2013-01-01	191	7				500	26,590	53.10	0.4	100%	(1.550)
CHESKY	2012-06-18	20.800	87,630	4.23	20.900	91,362	1.39	OLSM	2012/06/18	16	1	20,800	87,650	4.3%	200800	91,362	4.39	300%	100%	3,712
CHERN	2012 11 28	10.000	80,550	8.06	10,000	92,50	9.25	OFFW	2012-11-28	A91		Towns.	7		10.000	92,500	9.25	0%	100%	11,950
	2012-12-20	10000	457(X) 7(3,4(X)	9.40	200	4.745 80.730	9.49		2013-12-26	Atri Atri		500	3,995	9.40	500 ±000	90,750	9.18 e.1e	100%	100%	10,350
	Service March	111111111111111111111111111111111111111	(30/2001)		100,000	Stephenicki	5500		1000	1254.5		-000	10770	11.331	2010557	(640,049);	15,007	100	2000(70)	10.00000

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PAKT	2013-12-05	700	343,635	490.94	700	349,649	499.50	PAKT	2013-12-05	ASL					700	349,649	499.50	0%	100%	6.014
	2013-12-06	500	235,000	470.00	500	248,650	497.67		2013-12-06	ASL								0%	60%	8.025
					1,500	1140,000	4577.07		2015/12/00	ASL					300	148,750	496.75			0,023
	2013-12-09	700	325,945	465.36														0%	0%	
	2013-12-10				700	337,400	482.00		2013-12-10	ASL					700	337,400	482.00	0%	100%	11,455
	2014-02-14	200	132,020	660.10	100	69,014	690.14		2014-02-14	ASL		200	132,020	660.10				100%	0%	
PASM	2012-12-21	500	4,200	8.40				PASM										0%	0%	
110,000,000	2012-12-24	2,000	16,600	8.30				0.000000										0%	0%	
	2012-12-26	2,500	20,275	8.11	72.3300	100000	0.533		WWW.									0%	0%	
	2012-12-28				5,000	45,750	9.15		2012-12-28	A5L					5,000	45,750	9.15	0%	100%	4.675
	2013-01-15	2,000	12,700	fr.35														0%	0%	
	2013-01-22				2,000	15,000	7.50		2013-01-22	ASL					2,000	15,000	7.50	0%	100%	2,300
	2013-02-07	5,000	35,050	7.01		16560000	Williams.			-					400			0%	0%	550000
		3,000	33,630	7.504	FIGOR	70.700	W 15.00		2000	Laborate C										4.75.445
	2013-02-08				5,000	39,290	7.80		2013-02-08	ASL					5,000	39,290	7.80	0%	100%	4,240
	2014-01-06	10,000	58,000	5.80														0%	0%	
	2014-01-07				4,500	30,150	6.70		2014-01-07	ASI.					4,500	30,150	6.70	0%	100%	4,050
	2014-01-08	75,000	471,250	6.29					2014-01-08	ASL		1,000	6,300	6.30				1%	0%	
	2014-01-09	10,000	66,000	6.60	90,500	651,095	7.18		2014-01-09	ASL				100,000	90,000	647,500	7.18	0%	99%	79,938
because to be					200	10000	9.140	DOWNERDS	2017.00	4.16					366,6863	5747	7.40			(1)
POWER	2013-06-18	1,500	12,950	8.65				POWER										0%	0%	
	2013-06-19				500	4,390	8.78											0%	0%	
	2013-06-28				1,000	8,550	8,55		2013-06-28	ASI					1,000	8,550	8.55	0.0%	100%	(100)
PRE	2011-02-10	4,725	504,570	106.37	4,725	509,250	107.48	PRL	2011-02-10	ASL					4,725	509,250	107.48	0%	100%	4,680
REWM	2011-01-24	4,000	35,600	8.90	3,611	33,040	9.15	REWM	2011-01-24	ASL		4,000	35,600	8.90	2,611	23,940	9.15	100%	72%	664
RUHY	2012-11-20	500	1,550	3.10	100000	1000000		RUBY	2012-11-20	ASI.		500	1,550	3.10	- HAV.	-240.00	1514.60	100%	0%	0.000
4000		-0.07	4715000	2.112	CHINA	04/04/94960	Section 1	NOD!				2000	1,000	3:10	SAME	417574639	Service of			8001
	2012-11-26				500	1,600	3.20		2012-11-26	ASL					500	1,600	3.20	0%	100%	50
	2012-11-27	7,500	32,775	4.18					2012-11-27	ASI.		6,000	26,700	4.45				80%	0%	
	2012-11-28				7,500	36,750	4.90		2012-11-28	ASI.					7,500	36,750	34.90	0%	100%	3,975
	2012-12-05	4,000	17,000	4.25					2012-12-05	ASL		4,000	17,000	4.25				100%	0%	
	2012-12-06	344440040	2.50.45.700H		4,000	19,600	4.90		2012 12 06	ASL		.1100.000	P.C. PRINCIP.		4,000	19,600	4.90	0%	100%	2,600
20000		700	4.79063	9/30411	(4)000	120000	36.257	2012/2014				F100	4 (000)	141236	1744.007	17,000	75,000			44000
SANE	2012-11-08	500	1,700	3.40				SANE	2012-11-08	ASL		500	1,700	3.40				100%	0%	
SFAT	2012-10-24	500	3,200	6.40				SEAT										0.7	0%	
	2012-10-31	4,000	27,325	6.83	4,500	35,550	7.90		2012-10-31	A51.					4,500	35,550	7.90	0%	100%	5,025
	2012-11-07	500	2,820	5.64					2012-11-07	ASL		500	2,820	5.64				100%	0%	
	2012 11 27				500	3,510	7.02		2012-11-27	ASL					500	3,510	7.02	0%	100%	690
SEIM	2014-01-20	5(x)	3,125	6.25	576.00	(899.69)	17.7000	SETM	2014-01-20	ASL		500	3,125	6.25	85,64	100.000	0.00	100%	0"=	377.50
SE CHI		25.03	3,5 -3	0.23	Pinis.	(Acceptable)	101906	24, 4,444				3660	3,143	0.42	147/1141	armay.	1400000			Transc
	2014-02-04				500	3,095	6.19		2014-02-04	ASL					5(X)	3,095	6.19	0%	100%	(30)
SCIME	2013-08-23	5,DXX	97,500	19.50	5,000	102,500	20.50	SCAIL	2013-08-23	ASL					5.000	102,500	20.50	0%	100%	5,000
	2013-09-02	8,500	149,175	17.55	8,500	152,490	17.94		2013-09-02	ASL					8,500	152,490	17.94	0%	100%	3,315
	2013-09-18	2,500	45,025	18.01	2,500	47,000	18.80		2013-09-18	ASL					2,500	47,000	18.80	.0%	100%	1.975
SHEL	2014-01-01	500	95,005	150.01	700	133,995	191.75	SHEL	2014-01-01	ASL					200	38,500	192.50	0%	29%	498
21111000		3,000		341.64	3,000		342.38	211111												2,420
MATERIAL CO.	2014-06-06		1.024,200		35,00,003	1,027,600	342.38		2014-06-06	ASL					2,000	685,700	342.85	0%	0.7%	2,420
SIEM	2013-10-02	400	347,640	Box 10				SIEM										0%	0%	
	2013-10-03				500	448,300	895,33		2013-10-03	ASL					500	448,300	895.33	0.2	100%	11.693
	2013-10-08	200	178,100	890.50	200	179,998	899.99		2013 10:08	ASL					200	179,998	899.99	0%	100%	7.898
	2013-10-10	400	349,600	874.67	400	359,000	897.50		2013-10-10	ASL					400	359,000	897.50	.0%	100%	9,400
	2013-10-21	150	136,650	911.00	150	138,535	923.65		2013-10-21	ASL					120	138,535	923.65	0%	100%	1,885
	2013-10-29	400	350,000	875.00	250	223,930	896.95		2013-10-29	ASL					200	178,980	894 90	0%	80%	3,980
																				4,280
	2013-10-30	100	88,000	880.00	200	179,780	898.90		2013-10-30	ASI.					200	179,780	898.90	0%	100%	4,280
	2014-03-20	380	470,218	1.238.03	80	100,000	1,250.00											0%	0.7	
	2014-03-21				20	24,900	1,245.00											0%	0.4	
	2014-03-24				280	338,828	1,210.10		2014-03-24	ASL					280	338,828	1,210.10	0%	100%	(7,821)
	2014-03-25	820	1.037,462	1.264.60	720	865,998	1,202.78		2014-03-25	ASL		820	1.037,462	1,264.60	720	865,998	1,202.78	100%	100%	(44.514)
	2014-03-26	100	124,400	1,244.00					2014-03-26	ASL		100	124,400	1,241.00	1		1920	100%	0%	20000000
		200	280,020						2014-00-20	OCH.		100	124,400	1,241.00						
	2014-06-02			1,400.10		8,320,000,9												0%	0%	05000000
	2014-06-05	200	277,650	1_388.25	320	425,802	1,330.51		2014 06 05	ASI,		200	277,650	1,388.25	220	292,602	1,330.01	100%	69%	(13,050)
	2014-06-06	180	250,879	1,394.25	160	212,401	1,327.51		2014-06-06	ASL		180	250,879	1,394.25	160	212,401	1,327.51	100%	100%	(10.678)
	2014-06-09	100	139,850	1.398.50	100	132,001	1,320.01		2014-06-09	ASL		100	139,850	1,398.50	100	132,001	1,320.01	100%	1100%	(7.849)
	2014-06-11	300	415,093	1.383.64	300	403.103	1,343.68		2014-06-11	ASI.		300	415,093	1,383 64	300	403,103	1,343.68	100%	100%	(11,990)
	2014-06-12	100	139,850	1,398.50	100	133,101	1,331.01		2014-06-12	ASL		100	139,850	1,398.50	100	133,101	1,331.01	100%	100%	(6,749)
007007	2014-06-27	100	126,990	1,269.90	100	122,801	1,228.01		2014-06-27	ASI.		100	126,990	1,269.90	100	122,801	1,228.01	100%	100%	(4,189)
SUCT	2012-12-26	500	1.875	3.75				SLCL	2012-12-26	ASL		500	1,875	3.75				100%	0%	
	2013-04-25	1,000	2,100	2:10					2013-04-25	A51		1,000	2.100	2.10				100%	0%	
	2013-04-26	1,000	2,140	2.14	2.000	5.200	2.60		2013-04-26	ASL		1,000	2,140	2.14	2,000	5,200	2.60	100%	100%	960
	2013-04-29	1,000	2,300	2.30					2013-04-29	ASL		1,000	2,300	2.30				100%	0%	
		6,000	2.000	-	11.0000	110412211	100000					1,000	20,000	4.04	1417645451	W11 (1997)	1400000			114 E/W
	2013-04-30	2	2.444	1000	1,000	1,650	1.65		2013-04-30	ASL		7.000			1,000	1,650	1.65	0%	100%	(650)
	2013-05-02	1,000	1,100	1:10	1,000	1.750	1.75		2013-05-02	A51.		1.000	1,100	1.10	1,000	1.750	1.75	100%	100%	650
	2013-05-03	1,000	2,000	2.00					2013-05-03	ASIL		1.000	2,000	2 (30)				100%	0%	
	2013-05-06	5,000	11,750	2.35					2013-05-06	A51		5,000	11,750	2.35				100%	0%	
	2013-05-07	3.50x1	10,140	2.90							1							0%	0%	
					11 000	G-Ava	2.49		NAME OF BRIDE	400	0110	25-14	313 (000)	(2) (2) (2)	7117555	100000	2.925			14.73%
	2013-05-08	2,500	10,000	4,00	11,000	46.210	4.22		2013/05/08	ASI	MIL	2.5(8)	10,000	4 (8)	11,000	46,210	4 22	100%	100%	14,329
	2013-05-09	3,000	9,750	1,25	1,000	4.290	4.29		2013-05-09	A51	HWW	3,000	9,750	3.25	1,000	4.290	4.29	1190%	14K)=	1,040
	2013-05-10	1,000	4,400	4.40	1,000	4,700	4.70				10 .4	4						0%	45%	
	2013-05-14	1,000	4.690	4.63	3/1800	15.340	5.45		2013-05-14	ASL		1.000	4.650	4.65	1.5001	8.120	5.42	100%	50%	1,280
	2013-05-16	14,500	56,750	8.95	15,500	23,125	4.87		2017-05-16	ASI		500	2,000	4.00	14,500	07.073	4.67	3%	44%	10,440
			39,250	114	4-670 (01000)							14751		.41120	1.475.000	100-100-100	4.00	0%	0%	
																		0.00	11 11 11	
	2013-05-20	12,500	200000000000000000000000000000000000000		1 1000	10 m (b) b	796 3614		The state of the state of the state of						B 144 544	9.00	A	1.00	Lawse !	78.05.75
	2013-05-20 2013-05-23				1.200	1,5(8)	3.52		2013-05-23	ASI			Victoria		1,000	3(220)	354	0%	100%	383
	2013-05-20 2013-05-23 2013-05-28	2,000	e.200	1.10	1,500	1,5(8)	7.82		2014-09-28	A51		2.0(4)	$\epsilon_{1},2(k)$	3 (6)	1,000	3,920	352	100%	15%	383
	2013-05-20 2013-05-23				1,000	1530	3.52					2 (8(4) 5(5)	6),2(4) 1,475	3.10	1,000	3,220	352			181
	2013-05-20 2013-05-23 2013-05-28	2,000	e.200	1.10	1,000	(520)	3.52		2014-09-28	A51					1,000	8/E20	352	100%	15%	181

Fage 4 of 5

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	Co

	2017 07 07	2 = 2000	1902/1904/61	41760													CANC	1000	
	2013-07-05	5,000	16,250	3.25	10.000		4.70		2012 07 10					10.000	07.770	4.00	0%	0%	77.74
	2013-07-10	7.000	2.700	27227	19,000	83,220	4.38		2013-07-10	ASL	4 600	2 440	7.40	19,000	83.220	4.38	0%	100%	23,214
	2013-07-12	1,000	3,400	3.40					2013-07-12	A5L	1,000	3,400	3.40				100%	0%	
	2013-07-18	500	1,300	2.60	115200	Politicals			2013-07-18	ASI.	500	1,300	2.60		5-022		100%	0%	
	2013-07-31				1,500	6.435	4.29		2013-07-31	ASL	14.795.11			1,500	6.435	4.29	0%	100%	1,735
	2013-08-07	500	1,145	2.29					2013-08-07	ASL	500	1,145	2.29				100%	0%	
	2013-08-12	500	675	1.35					2013-08-12	ASL	500	675	1.35				100%	0%	
	2013-08-20				1,000	2.200	2.20		2013-08-20	ASL				1,000	2.200	2.20	0%	100%	380
	2013-09-23	500	1,275	2.55					2013-09-23	ASL	500	1,275	2.55				100%	0%	
	2013-09-25				500	1,150	2.30		2013-09-25	ASL				500	1,150	2.30	0%	100%	(125)
	2013-10-11	3,500	9,805	2.54					2013-10-11	ASL	1,000	2,305	2.31				29%	0%	
	2013-10-14	2,500	7,500	3.00													0%	0%	
	2013-10-21	500	1,450	2.90													0%	0%	
	2013-10-24	3,000	8,875	2.88													0%	0%	
	2013-10-25	2,500	7,500	3.00													0%	0%	
	2013-10-28	1,000	2,600	2.60	500	1,275	2.55		2013-10-28	ASL				500	1,275	2.55	0%	100%	7
	2013-10-30	1,000	(2000)		10,000	35,000	3.50		2013-10-30	ASL				10,000	35,000	3.50	0.00	100%	5,247
	2013-11-01	26.500	63,900	2.87	14,000	55,860	3.99		2013-11-01	ASL	17,500	36,750	2.10	14,000	55,860	3.99	66%	100%	15,727
	2013-11-05	10,000	27,900	2.79	8.45000	303000	3.99		2013-11-01	2136	17,500	Support	2.10	34,000	33,860	3.33	0%	0%	1000
																	0%	0%	
	2013-11-07	500	1,300	2.60	45 660	F-1 (5-10)	9.000		2012 12 20	6.00				4.07 (6) (6)	(Feb. (Salas))	2.40			77774430
	2013-11-08	(2/22)	100000	6000	15,000	57,000	3.80		2013-11-08	ASL				15,000	57.(XX)	3.80	0%	100%	14,192
	2014-02-10	9,500	48,225	5.08	SECURE	warmen and a	555 440		Table 1 To Control Co.	(32340)	(Charles III	The second second	1500000	Carrie (2020)	144407447474	10,122	0%	0%	200000000000000000000000000000000000000
	2014-02-12	47,000	272,950	5,85	56,500	352,950	6.24		2014-02-12	ASL	47,000	272,950	5.85	56,500	352,950	6.24	100%	100%	31,775
	2014-02-24	10,000	50,500	5.03	10,000	59,500	5.95		2014-02-24	ASL				10,000	59,500	5.95	0%	100%	9,000
	2014-03-05	500	2,400	4.80													0%	0%	
	2014-03-06	9,500	43,250	4.55													0.20	0.2	
	2014-03-11	6,000	26,900	4.45													0%	0%	
	2014-03-13				15,000	82,200	5,48		2014-03-13	ASL				15,000	82,200	5.48	0%	100%	14,325
	2014-03-14				1,000	5,800	5.80		2014-03-14	ASL.				1,000	5,800	5.80	0%	3.003%	1,350
5LYT	2011-03-03	3,000	15,750	5.25	3,000	16,050	5.35	5LYT.	2011-03-03	ASL	3,000	15,750	5.25	3,000	16,050	5.35	100%	100%	300
SSOM	2011-07-05	2,000	8,200	4:10	2,000	9,800	4.85	SSOM	2011-07-05	ASL	2,000	8,200	4.10				100%	0.%	
THALL	2014-01-23	400	69,280	173.20				THALL									0%	0.75	
	2014-01-28	1,000	167,100	167.10	1,000	167,990	167.99		2014-01-28	ASI	1,000	167,100	167.10	1,000	167,990	167.99	100%	100%	890
	2014-01-29	1,600	256,170	160.11	2.000	327,625	163.83		2014-01-29	ASL				2,000	327.625	163.83	0%	100%	4,325
	2014-02-20	1,000	144,100	144.10													0%	0.27	
	2014-02-24		10,000	2007.00	10000	142,400	142.40		2014-02-24	ASL				1,000	142,400	142.40	0%	100%	(1.700)
THECL	2011-08-03	200	3,000	15.00	10			THECL						-			0%	0.%	
44.00%	2011-08-09	1,000	15,200	15.20				115-9-110-20-10-									02	0%	
	2011-08-11	1,540,000	1000000	1,3,341	700	11,165	15.95		2011-08-11	ASL				700	11.165	15.95	0%	100%	563
	2011-08-11	1,000	15,750	15.75	0.000	11.105	10.00		2011-00-11	10.50				2000	110,000	13.75	(3%	02	400
	2011-08-16	462	7,438	10.10					2011-08-16	9A50	450	7,245	16:10				97%	(1%	
		500		15.50	7.463	41.00.7	10.70		2011-08-17	ASL	430	1,543	10.10	Section	11.00	170.000.000	0.%	100%	2.046
	2011-08-17		7,250		2,462	41,067	16.06							2,462	41,067	16.66		100%	2.200
	2011-08-18	2,000	31,700	15.85	2,000	33,900	16.95		2011 08 18	ASI.	1.544	25 (25)	199 4 0	2,000	33,900	16.95	0%		2,200
	2011-08-19	1,500	25,650	17.10	21000	F-29110-0021	1000000		2011-08-19	ASL	1,500	25,650	12:10	10000200	100000000	10000000	100%	0%	96000
	2011-08-22	2000	Section to Securi	The Best Print	1,500	.26,040	17.03	THOMSON	2011-08-22	A5L	let retrain.	Contract Contract	NATIONAL PROPERTY.	1,350	23.625	17.50	()%	90%	540
TRIFF	2011-02-14	3,000	362,130	120.71	3000	368,250	122.71	TEIFF	2011-02-14	ASL	3,000	362,130	120.71				100%	0%	1.000
	2011-03-11	1,929	246,452	127.72	1,929	249,791	129-25	55000	2011:03:11	A51.				1,929	249(79)	129.25	0%	1.00%	3,330
TSRI	2013-01-02	500	1.250	2.50				TSBL									40	(1%	
	2013-02-15	5,000	17,500	3.50					2013-02-15	ASL	5,000	17,500	3,50				100%	0%	
	2013-02-22	6,000	18,720	3.24	11,500	41,150	3.65		2013-02-22	ASL	2.000	6,500	3.25	11,500	41,150	3.65	33%	100%	3.680
	2014-03-13	4,000	13,200	3.30	2,000	6.500	3 25		2014-03-13	ASL	4,000	13,200	3.30	2,000	6.500	3.25	100%	100%	(100)
	2014-03-14				1,500	5,700	5.80		2014-03-14	ASL				1,500	5,700	3.80	Ω%	100%	750
UDPL	2013-03-04	1,0,000	163,945	16.71				LIDPL	2013-03-04	ASI.	3,000	52,425	17.47				30%	0%	
	2013-03-05	9,000	136,650	15.32	10,000	163,055	16:24		2013-03-05	A51.				10,000	163,055	16.24	(1%	100%	7.789
	2013-03-06				4,000	63,800	15.95		2013-03-06	ASL.				4,000	63,800	15.95	0%	100%	2,533
	2013-03-22	1,000	13,050	13.05	1,000	13,900	13 90		2013-03-22	ASL	1,800	13,050	13.05				100%	0%	
	2013-04-02	2,000	29,220	14.61					2013-04-02	ASL.	2,000	29,220	14.61				100%	0%	
UNIC	2012-12-05	3,000	45,900	15.30				LINIC									0.%	0%	
	2012-12-06	5,000	73,750	14.75	3,000	46,950	45.65		2012-12-06	A51.				3,000	46,950	15.65	0%	100%	1,050
	2012-12-11				2,500	15,975	14.30		2012-12-11	ASI.				2,500	35,975	14.39	0%	100%	(900)
	2012-12-12				2,500	35,000	14.00		2012-12-12	ASI				2,500	35,000	14.00	0%	100%	(1,875)
	2013-01-10	2,000	24,780	12.39													43%	02%	
	2013-01-11	and the state of t		115531	2.000	26.280	13.14		2013-01-11	ASL				2,000	26 280	13.14	0%	100%	1,500
	2013-02-07	5.000	56.500	11.30	-	-					A. Italian					5000	0%	0%	-0.255
	2013-02-08	District.		11.00	3,000	36:000	32.00		2013-02-08	A51	11.			3,000	36,000	12.00	0%	100%	2,100
	2013-02-08				2,000	24,400	12.20		2013-02-13	ASL	MA			2,000	24,400	12.20	0%	100%	1.800
211	2014-04-09	2,000	312,010	155.67	44550	E-171-M	99.60	711	and the state of t	15-24				=,AAA	1000	100.00	0%	02	WENG C
36.00	2014-04-10	- Anni	11-31-11	6.69,00	27000	329,000	164 50	16/4/1	2014-04-10	A51.	1			2,000	379,000	(e-4.50)	0%	100%	16.990
Grand Total	-111-4-111	1,131,793			1,113,486	The TANKS	1100		-1114	44.549)	321.829			1,035,796	- Charles	1594_55	11.0	100	755,307
Citabil Fotal		1,131,743			1,111,400						321,829			1,035,790					133/301
											100								